Last updated: 10/2022

OPTIMIZE

CHANGE

ADVANCE

THE RECYCLING PLAYBOOK

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October 2022 Updates

We aim to keep The Recycling Playbook a useful tool to support the transition to more sustainable packaging. As a result, periodic changes are expected since recycling and packaging are evolving.

The following changes were made to reflect the current state of U.S. recycling:

Some rigid PP packages were moved into the "optimize" classification where suppliers should work to make sure all design features are compatible with the recycling system

• The applicable packages were reclassified by How2Recycle in 2022 from considered for Check Locally labels to Widely Recyclable labels.

Additional guidance was added to:

- Clarify that PFAS in fiber packaging should be avoided per <u>How2Recycle's position</u>
- Include the U.S. Plastics Pact problematic and unnecessary materials
- Include design guidance from the Consumer Goods Forum's Golden Design Rules
- Include the small format working group at <u>The Sustainability Consortium</u>
- Include the PET working group and end-market tool at <u>The Recycling Partnership</u>
- · Clarified RFIDs should be avoided in plastic packaging
- Include more information about PE Film design from <u>APR Design[®] Guide</u>
- · Add more information about labels on glass packages
- Include design guidance from the <u>Aluminum Association</u>
- Include recyclability information from the <u>American Forest and Paper Association</u>
- · Added information on composting systems and materials that are compatible with these systems

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PLAYBOOK BACKGROUND

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INTRODUCTION

For companies setting recyclable packaging and recycled content goals, this document is a supplemental resource for your consideration. Information in this document is presented by packaging format (i.e., bottle, box, etc.) and is focused on the most common packaging formats found in Walmart stores. This document is not exhaustive for all packaging formats nor is the information intended to be prescriptive. For more general information on sustainable packaging, please refer to Walmart's Sustainable Packaging Playbook.

For each major packaging format, we have provided information which is designed to capture recyclability information based on existing infrastructure (with a focus on North America). This document also provides perspective on feasible recycled content levels based on current industry practice. We have also tried to identify design elements which can pose barriers or challenges to recycling.

Walmart encourages all suppliers to take a life cycle perspective when seeking to optimize package design. While we want to see all of our suppliers striving to minimize material usage and advance a circular economy for plastics, it is also important to consider potential trade-offs of material choices elsewhere in the life cycle and take those into consideration when making design choices (e.g., increased package weight impacting transportation greenhouse gas emissions, responsible sourcing of fiber based packaging, etc.).

Consider using consumer-friendly recycling labels, like the How2Recycle [•] **label** to make it easier for customers to know what they can and can't recycle. For more information, visit: members.how2recycle.info.

Thank you to <u>Pure Strategies</u>, The <u>Association of Plastic Recyclers</u> (APR), and the <u>Sustainable Packaging Coalition</u> who were key partners in the development of this playbook. Additional information on plastic packaging is available in the *APR Design*^{*} *Guide For Plastics Recyclability*.

SUSTAINABLE PACKAGING OVERVIEW

By optimizing design, sourcing sustainably and supporting recycling in packaging, companies can work to reduce greenhouse gas emissions through reduced weight in transportation, increased use of recycled content, and mitigating carbon from landfill. Designers, manufacturers and brands have a unique opportunity to help deliver more efficient, innovative, and sustainable packaging to shelf. **The Recycling Playbook is for companies setting recyclable packaging and recycled content goals**.



Meet business requirements

DEFINITIONS

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WHAT DOES RECYCLABLE MEAN?

definition.

Walmart utilizes the Ellen MacArthur Foundation's definition for recyclability for purposes of measuring progress on Walmart's global sustainability goals. The definition is broken down into two steps:

<u>Step 1</u>: Does a 'system for recycling' exist in practice and at scale for this packaging category (i.e., at least 30% recycling rate is achieved for over 400 million inhabitants)?

Step 2: Do the various packaging components fit that 'system for recycling'?



A VIEW OF THE RECYCLING SYSTEM STAGES AND CONSIDERATIONS



EXAMPLES OF RECYCLING CHALLENGES FOR PACKAGING ACROSS THE SYSTEM



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HOW TO USE THE PLAYBOOK

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		رک
Optimize	Change	Advance
Recyclable packages	Packages that are not recyclable	Packages that are not widely recyclable
Small issues can be detrimental or make a package not compatible with recycling (e.g., color, labels)	These may contaminate high value recycling streams or have feasible replacements	Barriers in recycling systems at this time
ACTION: Use this playbook to help design out elements not recyclable and detrimental to recycling	ACTION: Switch to a recyclable package, see this playbook for ideas	ACTION: Invest and engage in the development of a recycling, reuse, take-back, or composting solution
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OPTIMIZE, CHANGE, OR ADVANCE PACKAGING AS APPLICABLE

Review the following pages for guidance and ideas for optimizing packages that are potentially recyclable and changing packages that are not recyclable or advancing development to get to a circular economy solution.

OPTIMIZE	CHANGE	ADVANCE
Use this playbook to help design out elements not recyclable and detrimental to recycling	Switch to a recyclable package, see guides for ideas	Invest and engage in the development of a recycling, reuse, take-back, or composting solution
 Bags, films, and pouches: Paper Plastic*: PE, HDPE, MDPE, LDPE, and LLDPE 	 Bags, films, and pouches: Made from multiple materials Plastic: nylon, PET, PP, PVC, PVDC 	Bags, films, and pouches : Heat-in-the-bag, some advanced barriers, meat film and soaker pads, customer demand, life cycle considerations
 Bottles, jars, jugs, and tubs: Glass Plastic: HDPE, LDPE*, PET, some PP* 	 Bottles, jars, jugs, and tubs: Plastic: acrylic, PETG, PS, PVC, miscellaneous plastics, and multiple materials 	Bottles, jars, jugs, and tubs:Some PP
Boxes: paperboard, corrugate, and molded fiber		
 Canisters and cartons: paper-based including: Simple containers without metal (e.g., paperboard) Multi-layer containers for shelf-stable products (e.g., aseptic boxes) and coated containers for refrigerated products (e.g., gable top) 	Canisters and cartons: paper-based containers with metal tops or bottoms	Canisters and cartons: coated paper-based containers for frozen products
Cans: steel, aluminum (including aerosols and others)		
 Cushion, dunnage, and inserts: Paper, corrugate, and molded fiber Plastic*: PE 	Cushion, dunnage, and inserts: expanded polystyrene and other resins	
Trays, clamshells, and thermoforms:Paper and fiber-based	Trays, clamshells, and thermoforms:Plastic: EPS, PS, PVC	Trays, clamshells, and thermoforms:Plastic: PET
*Plastic packages that have established recycling systems in the U.S., but not yet at rates consistent with the requirements for global reporting of progress according to the <u>New Plastics</u> <u>Economy Global Commitment</u> .	Other: • Blister packs (multiple materials) • Flat plastic	 Other: Tubes made from plastic with multiple materials Small plastic containers (<2" in more than one dimension)



Steps to take to "optimize" your package by avoiding elements not recyclable and elements detrimental to recycling in order to support sortation, processing, and end markets - <u>Refer to the rest of this playbook for more information</u>; follow the green pages and avoid the challenges on the gray pages.





Steps to take to "change" to a recyclable package and optimize its design for recycling



Walmart's aspiration is zero plastic waste... not zero plastic. While we want to find ways to use less plastic, major packaging changes should be done with thought to ensure there aren't major trade-offs, such as an increase in greenhouse gas (GHG) emissions. If you participate in <u>Project Gigaton</u>, you can use the Project Gigaton packaging calculators to estimate potential GHG impacts for purposes of reporting in that program.



While <u>experts</u> recommend designing your packaging to fit the existing recycling system (optimizing or changing the package), there are some packages close to being recyclable or some that have no short-term options. Below are steps to take to "advance" your package by developing a recycling, reuse, take-back, or composting solution for the package - **Refer to the rest of this playbook for more information**



CHANGING PACKAGE DESIGN WITH A SYSTEM & LIFE CYCLE VIEW

When changing package design for recyclability, aim for:

- Optimizing each stage of the recycling system
- No major trade-offs for environmental or other impacts

Walmart's aspiration is zero plastic waste... not zero plastic. While we want to find ways to use less plastic, major packaging changes should be done with thought to ensure there aren't major trade-offs, such as an increase in greenhouse gas (GHG) emissions. If you participate in <u>Project Gigaton</u>, you can use the Project Gigaton packaging calculators to estimate potential GHG impacts for purposes of reporting in that program.

Design changes for recyclability have different levels of investment, optimizing packages has lower barriers and thus requires less investment of time and cost than most changes and advances





KEY LEVERS OF CHANGE TO SUPPORT RECYCLING

<i>Optimize</i> and <i>Advance</i> packages have key levers of change for recycling, noted below – refer to the rest of this playbook for additional information.						
<i>Change</i> packages should switch to a recyclable package – refer	Design	Consumer	Collection	Sortation	Processing	End-Market
to the rest of this playbook for ideas Bold=primary focus	Use best practices to design for recycling	Reach at least a 30% recycling rate	Collection available for a substantial majority of consumers	Packages are separated and aggregated for further processing	Commercial processes recover material	The recycled material is used in new products
<i>Optimize</i> packages levers of change	Remove contaminants Use compatible labels	Engage consumer				
<i>Advance:</i> Bottles, jars, jugs, and tubs made from PP	Remove contaminants	Engage consumer		Improve sortation		
Advance: Canisters and cartons: coated paper-based containers for frozen products	Remove contaminants	Engage consumer	Improve collection	Improve sortation	Improve processing	Improve end market
Advance: Trays, clamshells, and thermoforms made from PET	Remove contaminants	Engage consumer	Improve collection	Improve sortation	Ensure processing	Ensure end market
Advance: Tubes made from plastic with multiple materials	Use single resin	Engage consumer	Improve collection	Improve sortation	Improve processing	Improve end market
Advance: Small plastic containers (<2" in more than one dimension)	Use single resin	Engage consumer		Improve sortation		

KEY COLLABORATIVE INITIATIVES TO SUPPORT IN ORDER TO PROGRESS RECYCLING

Below are some of the
collaborative initiatives to
consider investing and engaging
in to progress recycling

Below are some of the collaborative initiatives to consider investing and engaging in to progress recycling	E					
	Design	Consumer	Collection	Sortation	Processing	End-Market
	Use best practices to design for recycling	Reach at least a 30% recycling rate	Collection available for a substantial majority of consumers	Packages are separated and aggregated for further processing	Commercial processes recover material	The recycled material is used in new products
<i>Optimize</i> packages levers of change	The Association of Plastic Recyclers (APR) Design® Guide for Plastics Recycling	How2Recycle, U.S. Plastics Pact			I	APR Recycling Demand Champions, Project Gigaton, U.S. Plastics Pact, The Recycling Partnership Pathway*
Advance: Bags films and pouches made from multiple materials	APR Films and Flexibles Committee	How2Recycle, U.S. Plastics Pact	APR, The Recycling Pa	artnership's Film and Fle Future, U.S. P		ials Recovery for the
Advance: Canisters and cartons: coated paper-based containers for frozen products		How2Recycle, U.S. Plastics Pact				
<i>Advance:</i> Trays, clamshells, and thermoforms made from PET	APR PET Technical Committee	How2Recycle, U.S. Plastics Pact	APR, The Recyclin	ng Partnership PET Recy Institute, U.S. F		ervice Packaging
Advance: Tubes made from plastic with multiple materials	APR Rigid Olefin Technical Committee	How2Recycle, U.S. Plastics Pact	AF	PR, The Recycling Partne	ership, U.S. Plastics Pac	t
Advance: Small plastic containers (<2" in more than one dimension)	APR MRF Committee	How2Recycle, U.S. Plastics Pact	APF	R, The Sustainability Constant	sortium, U.S. Plastics P rtnership Pathway to Circul	

*The Recycling Partnership <u>Pathway to Circularity</u> includes a tool to evaluate on end-markets, applicable to all packages

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GUIDES: BAGS, FILMS, AND POUCHES

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Paper Bags



Typically used for:

- Food
- Cleaning products
- Health and wellness (supplements, medicine)
- Pet care
- Arts and crafts
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling processing as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	

*For the purposes of Project Gigaton, <u>FSC-certified</u> virgin content from all countries is recognized; <u>SFI</u> from the U.S. and Canada only; <u>PEFC</u> from Anguilla, Belgium, Czech Republic, Denmark, Estonia, Germany, Hungary, Ireland, Latvia, Lithuania, Netherlands, Portugal, South Korea, Spain, Switzerland, or the UK.

OPTIMIZE Design Guides for Recycling – challenges to avoid

Paper Bags



Typically used for:

- Food
- Cleaning products
- Health and wellness (supplements, medicine)
- Pet care
- Arts and crafts
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

Recyclability challenges	Examples	Guidance		
Frozen Food Cartor	ns Frozen foods	Improve end market and systems for collection and recycling of material that can be recovered (e.g., fiber) or innovate to use recycling compatible options		
Food-Contact and Oily/Liquid-Contac Products	t Variety of products	Ensure that the package can be easily cleaned or have no/low contamination/residue otherwise find another recyclable package design (may also consider reviewing the compostable packaging information to see if that option applies)		
Materials to avoid	Materials to avoid that present recyclability challenges			
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances			
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, hot melt adhesives, stickers and adhesives (unless passes Western Michigan University testing)			
Labels	Avoid: Metal foil, meta	lized printing, PET, PLA, PP, PS, PVC		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information			

PE Bags and Film*



Typically used for:

- Food
- Frozen food
- Cleaning products
- Household paper
- Personal and baby care
- Pet care
- Arts and crafts
- Electronics
- General merchandise (DIY, sporting goods, home)
- Home and garden
- Party supplies
- Ecommerce envelope

Recyclable best practices: Meets the following or passed the applicable APR benchmark and definitive tests		
Film Resin	LDPE, MDPE, LLDPE, or HDPE film	
Resin Color	Unpigmented is best or white or light colors	
Resin Additives	Use compatible additives at levels that do not alter the base material density (e.g., EVOH at recommended levels, see the APR Design * Guide for more details); No degradable or biodegradability additives or starch	
Fillers	Ensure density of blend is less than 1.0	
Layers	PE	
Labels	PE or direct printed	
Attachments	PE	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	No minimum PCR content, but may be added in the future	

*Plastic packages that have established recycling systems in the U.S., but not yet at rates consistent with the requirements for global reporting of progress according to the Ellen MacArthur Foundation <u>New Plastics Economy</u> <u>Global Commitment</u>.

OPTIMIZE Design Guides for Recycling – challenges to avoid

PE Bags and Film*



Typically used for:

- Food
- Frozen food
- Cleaning products
- Household paper
- Personal and baby care
- Pet care
- Arts and crafts
- Electronics
- General merchandise (DIY, sporting goods, home)
- Home and garden
- Party supplies
- Ecommerce envelope

Recyclability challenges	Examples	Guidance
Food residue	Food and beverages	Ensure that the package can be easily cleaned or have no/low contamination/residue (e.g., bread) otherwise find another recyclable package design
Recycled content	Variety of products	No minimum due to limited availability of options currently available
Multi-material packages (not all PE	Frozen food, wipes	Look for options that are compatible with recycling or innovate to use recycling compatible options
Materials to avoid	that present recyclability cha	llenges
Resin	Avoid: Any non-PE resins mixed	in (avoid less than 90% PE)
Additives	Avoid: Dark colors (e.g., blue, green), PVC, PVDC, metalized layers, fillers that alter the blend density to be greater than 1.0, starch resins, degradable additives (no biodegradability additives), PS, EPS, PVC, PVDC	
	Avoid: RFIDs Avoid: Metal, foils, fibers, PET, P	la, pp, ps, pvc, pvdc
Labels	Ensure materials, adhesives, and size of sleeve/label are not problematic for recycling, i.e., use APR "preferred" labels. Avoid: Metal foil, metalized printing, paper, PET, PLA, PP, PS, PVC	
		nd polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics</u> ry Materials and How2Recycle for more information

CHANGE to a Recyclable Package

Bags, Films, Pouches

Made from multiple materials Plastic: nylon, PET, PP, PVC, PVDC



Typically used for:

- Arts and crafts
- Apparel
- Baby food and care
- Food (e.g., nuts, produce)
- Health & wellness
- General merchandise (DIY)
- Home décor (e.g., bedding)
- Home and garden
- Household paper
- Office
- Party supplies
- Personal care
- Pet food and care
- Toys

Challenges

- It is difficult for consumers to separate multiple materials
- Nylon, flexible PET, flexible PP, PVC, PVDC are not accepted in U.S. store-drop off recycling programs and can result in loss of recyclable plastic due to contamination

Guidance

Change to:

- A similar format with a PE material only (e.g., LDPE)
- A similar format with material mixtures compatible with store-drop off (e.g., HDPE, LDPE, LDPE, MDPE)
- A different recyclable format (e.g., paperboard box, carton, HDPE tub)

For plastic, use coatings and additives proven to be compatible recycling (e.g., EVOH/compatiblizers), see <u>APR Design® Guide For Plastics Recyclability</u> and APR testing

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

CHANGE to a Recyclable Package

Bags, Films, Pouches

> Made from multiple materials Plastic: nylon, PET, PP, PVC, PVDC



	Product	Recyclable format to consider	
	Produce, lettuce, salad mixes	PET clamshell, PE bag	
Produce and fresh food	Dairy	PE bag	
	Meat, poultry, fish	PET tray, PP tray (note that films used with these may not be recyclable)	
	Cookies, crackers	PE bag/wrap in box	
	Bread, bagels, tortillas	PE bag	
Dry Grocery	Rice and coffee	PE bag	
	Trail mix, nut mixes	PET tub, PE bag	
	Bakery ingredients and supplies	Paper bag, PE bag	
Beverages	Kids juice for lunch	Coated paper-based box (e.g., Tetrapak)	
	Frozen breakfast, snacks, appetizers	PE bag in box	
Frozen food	Frozen meals	Paper box with a paper or PP container	
Frozen tooa	Frozen pizza and meals	Paper box with PE film	
	Frozen potatoes, meat, poultry, fish	PE bag	
Pet food and snacks	Dry pet food and snacks	PE bag	
	Diapers	PE outerwrap, corrugate box	
Baby and personal care	Wipes without an integrated dispenser	PE bag	
	Feminine and incontinence pads	PE outerwrap	
Home care	Cleaning and laundry products	PE bag	

ADVANCE to a Circular Economy Solution (usually a longer-term approach)

Bags, Films, Pouches

- Made from multiple materials
- Plastic: nylon, PET, PP, PVC, PVDC

Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.



Example packages to advance innovation and circular solutions if cannot switch to a recyclable option

- Heat-in-the-bag: Frozen or fresh foods that are heated in the bag (e.g., PP bags, multiple materials).
- Advanced barriers for refrigerated or shelf-stable foods and beverages (e.g., to minimize oxygen and carbon dioxide transmission for fat/oil stability): Chips and crackers with fat/oil content (e.g., potato chips, tortilla chips, cheese crackers) (e.g., PP film with or without metallization); Lunchmeat, bacon, and hot dogs (e.g., PET film); Cheese/dairy or candy (e.g., PET film, metalized pouch/film).
- Meat, poultry, and fish minor packaging components: Film (e.g., PVDC), Soaker pad (e.g., multiple materials).
- Product protection: Where testing proved that significant product loss would occur with all possible recyclable options.
- Life cycle impacts: A peer-reviewed life cycle assessment demonstrates significant improvements across impacts.

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GUIDES: BOTTLES, JARS, JUGS, AND TUBS

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Glass Containers



Typically used for:

- Food jars
- Beverage bottles

Recyclable best practices: Meets the following		
Materials	Container glass	
Color	Clear, amber, green, and blue	
Label	Direct print, paper	
Feasible post-const	umer recycled content levels based on current industry practice	
Minimum (may increa	ase over time) 30%	
Maximum	Up to 95% PCR	
Materials that pres	sent recyclability challenges to avoid	
Labels	Ensure that plastic labels are easy to remove or avoid them (e.g., the label should not cover most of the package with a very strong adhesive)	
Attachments, closures	Avoid: Anything molded into the glass or ceramic attachments/closures	
Non-container glass	Avoid: Leaded glass (e.g., crystal) and heat-resistant glass (e.g., Pyrex)	

PET Bottles



Typically used for:

- Water and beverages
- Grocery (e.g., condiments, sauces)
- Health & wellness (e.g., supplements)
- Personal and baby care
- Cleaning products

Recyclable best practices: <i>Mee</i> tests	ets the following or passed the applicable APR benchmark and definitive	
Resin	PET bottle grade with a crystalline melting point between 225 and 255 $^\circ\text{C}$	
Resin Color	Clear (if color required, transparent light blue)	
Resin Additives	No degradable or biodegradability additives	
Wrap Around Label or Cut & Stack	PP or PE (that float when printed)	
Shrink Sleeve, Pressure Sensitive, or Direct Printed	An APR Preferred option (Learn more at https://plasticsrecycling.org/recognition/recipients)	
Attachments	Clear if PET; colored ok for PP or PE	
Closures, Pumps, and Sprays	PP or PE	
Cap Liner	Liner made from PE, EVA, or TPE or no liner	
Tamper Evidence	Easily fully removable, PET, PP, PE	
Dimensions	Larger than 2" in two dimensions and largely 3-dimensional (vs. flat with one dimension <2 ")	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	25% PCR	
Maximum	Up to 100% PCR	



OPTIMIZE Design Guides for Recycling – challenges to avoid

PET Bottles



Typically used for:

- Water and beverages
- Grocery (e.g., condiments, sauces)
- Health & wellness (e.g., supplement
- Personal and baby care
- Cleaning products

Recy	clability challenges	Examples	Guidance
Nylon I	layers	Sparkling mineral water, food jars, and juice bottles	Use the APR recognized options or innovate to use recycling compatible options
Oxyger additiv	n scavenger (or other) es	Juice, tea, and coffee	Use the APR recognized options or innovate to use recycling compatible options (e.g., EvOH at low percentage)
Paper I	abels	Many products	These are a low-cost option that either need to pass APR benchmark and definitive tests or be replaced with non-paper APR recognized options
Pressur sleeve	re sensitive and shrink labels	Many products	See below for more information; Use the APR recognized options (Learn more at https://plasticsrecycling.org/recognition/recipients)
Metal p spray	parts in cap, pump, or	Beverages, cleaning and personal care products	Look for all plastic caps, pumps, or sprays (some applications may have functional limitations and How2Recycle labels should be used to clearly communicate that the cap, pump, or spray with metal needs to be removed before recycling)
s) PETG		Beverages	PETG is not the same thing as PET and should be designed out of PET packaging
Mate	rials to avoid that pre	sent recyclability challe	nges
Resin	Avoid: PETG, othe	Avoid: PETG, other non-compatible resins mixed in (some EvOH levels are ok)	
Resin C or Add		Avoid: Transparent colors other than blue (green should be limited to compostable packaging based on guidance from the <u>State of Washington</u>), opaque colors, dark colors, optical brighteners, degradable additives or biodegradability additives	
Attach /Closu		, PS, PVC, PLA, TPE/silicon with	n density > 1
Labels	 Ensure materials, adhesives, and size of sleeve/label are not problematic for recycling, i.e., use APR "preferred" labels. Avoid the following: Materials: Metal foil, metalized printing, PS, PVC, PLA, PETG and paper labels not APR Preferred or that do not pass APR testing Label coverage: Those that are not APR Preferred, does not pass APRs near infrared (NIR) Sorting Potential Test, for containers of 550 ml or less labels that cover more than 55% of the bottle surface area with label, for containers greater than 550 ml labels that covert more than 70% of the bottle surface area with label Paper labels: Those that are not APR Preferred or that do not pass APR testing Inks: Bleeding inks or direct printing that do not pass APR testing 		
Other		y added per- and polyfluoroalky v2Recycle for more information	rl substances (PFASs) – see <u>U.S. Plastics Pact Problematic and Unnecessary</u> n

HDPE Bottles



Typically used for:

- Milk
- Baby formula
- Health and wellness (supplements, medicine)
- Personal and baby care
- Cleaning products

Recyclable best practices: Meets the following or passed the applicable APR benchmark and definitive tests		
Resin	HDPE density 0.94-0.96	
Resin Color	Unpigmented, translucent, opaque colors (not dark)	
Resin Additives	No degradable or biodegradability additives	
Layers	PE or EVOH less than 3%	
Labels	PE, PP	
Adhesives	Wash off cleanly or minimal/no adhesive	
Attachments, Closures, Pumps and Sprays	PE, PLA, or PS	
Cap Liner	PE, EVA or TPE	
Tamper Evidence	PE, PETG	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	10% PCR for transparent/natural 25% PCR for colored	
Maximum	Up to 100% PCR	

OPTIMIZE Design Guides for Recycling – challenges to avoid

HDPE Bottles



Typically used for:

- Milk
- Baby formula
- Health and wellness (supplements, medicine)
- Personal and baby care
- Cleaning products

Recyclability challenges	Examples	Guidance	
Metal parts in cap, pump, or spray	Sometimes used for cleaning, personal care	Look for all plastic caps, pumps, or sprays (some applications may have functional limitations and How2Recycle labels should be used to clearly communicate that the cap, pump, or spray with metal needs to be removed before recycling)	
Fillers	When fillers are added to change the density of the package so that it sinks	Adjust the use of the filler to ensure the package floats	
Materials to avoid	Materials to avoid that present recyclability challenges		
Resin	Avoid: Other resins mixed in		
Resin Color or Additives	Avoid: Dark colors with L value less than 40 or near-infrared (NIR) reflectance less than or equal to 10% (can't be sorted), for non-mechanical oil products (which aren't collected for recycling), Optical brighteners, Degradable additives (no biodegradability additives), PETG, PS, EPS, PVC, PVDC		
Attachments and Closures	Avoid: RFIDs Avoid: Metal, foils, PP, PVC, silicone		
Labels	 Ensure materials, adhesives, and size of sleeve/label are not problematic for recycling, i.e., use APR "preferred" labels. Minimize direct printing. Avoid the following: Materials for any type of label: paper, PVC Materials just for non-wash releasable labels: PLA, PS, metal foils Label coverage: Those that are not APR Preferred, does not pass APRs near infrared (NIR) Sorting Potential Test, greater than 60% label coverage of the container side wall section 		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics Pact</u> <u>Problematic and Unnecessary Materials</u> and <u>How2Recycle</u> for more information		

LDPE Bottles, Jugs, and Jars*



Typically used for: • Health and wellness bottles

Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

Recyclable best practices: Meets the following		
Resin	LDPE density 0.917-0.93	
Resin Color	Unpigmented, white, or light colors	
Resin Additives	No degradable additives or biodegradability additives	
Fillers	Ensure density of blend is less than 1.0	
Layers	PE	
Labels	PE, PP	
Attachments, Closures	PE, PLA, or PS	
Dimensions	Larger than 2" in two dimensions and largely 3-dimensional (vs. flat with one dimension <2 ")	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	25% PCR	
Maximum	Up to 100% PCR	

*Plastic packages that have established recycling systems in the U.S., but not yet at rates consistent with the requirements for global reporting of progress according to the Ellen MacArthur Foundation <u>New Plastics Economy Global Commitment</u>.

OPTIMIZE Design Guides for Recycling – challenges to avoid

LDPE Bottles, Jugs, and Jars*



Typically used for:

• Health and wellness bottles

Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

Recyclability challenges	Examples	Guidance
Full body sleeves	Variety of products	Test for compatibility with sorting (see APR near infrared (NIR) sortation potential <u>test</u>), covering no more than 60% of the package surface helps
Materials to avoid challenges	d that present recyclability	
Resin Color or Additives	Avoid: Dark colors with L value less than 40 or near-infrared (NIR) reflectance less than or equal to 10% (can't be sorted), for non-mechanical oil products (which aren't collected for recycling), optical brighteners, degradable additives or biodegradability additives, PETG, PS, EPS, PVC, PVDC	
Attachments and Closures	Avoid: RFIDs Avoid: Metal, foils, PP, PVC, floatin	g silicone polymer
Labels	 Ensure materials, adhesives, and size of sleeve/label are not problematic for recycling, i.e., use APR "preferred" labels. Avoid the following for: Materials for any label type: paper, PVC Materials for just non-wash releasable: PLA, PS, metal foils Label coverage: Those that are not APR Preferred, does not pass APRs near infrared (NIR) Sorting Potential Test, greater than 60% label coverage of the container side wall section 	
Other *Plastic packages tha	, ,	polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics</u> <u>Materials</u> and <u>How2Recycle</u> for more information

global reporting of progress according to the Ellen MacArthur Foundation <u>New Plastics Economy Global Commitmen</u>²⁵

PP Containers*



Rigid PP tubs, bottles, jugs, and jars, typically used for:

- · Yogurt and food cups
- Frozen food tubs
- Personal care jars
- (other rigid PP containers may be in the "advance" classification)

Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

Optimize: Meets the following or passed the applicable APR benchmark and definitive tests	
Resin	PP
Resin Color	Any color with an L value >40 or NIR reflectance >10%
Resin Additives and Layers	EVOH, workhorse additives (e.g., thermal stabilizers, UV stabilizers, nucleating agents, clarifying agents, antistatic agents, lubricants, pigments, impact improvers, chemical blowing agents), no degradable additives or biodegradability additives
In mold labels	PP
Non-Wash Releasable Labels	PP, PE – all with PP compatible adhesives
Wash Releasable Labels	PP, PE, PLA, PS
Attachments	PP, PLA
Closures, Pumps, and Sprays	PP, PS
Cap Liner	Liner made from EVA or TPE or no liner
Tamper Evidence	PP, PE, PETG, PLA
Dimensions	Larger than 2" in two dimensions and largely 3-dimensional (vs. flat with one dimension <2")
Feasible post-consumer recycled content levels based on current industry practice	
Minimum (may increase over time)	25% PCR
Maximum	Up to 100% PCR

*Plastic packages that have established recycling systems in the U.S., but some (i.e., PP rigid containers are not bottles) are not yet at rates consistent with the requirements for global reporting of progress according to the Ellen MacArthur Foundation <u>New</u> <u>Plastics Economy Global Commitment</u>.
PP Containers



Rigid PP tubs, bottles, jugs, and jars, typically used for:

- Yogurt and food cups
- Frozen food tubs
- · Personal care jars
- (other rigid PP containers may be in the "advance" classification)

Design challenges	Examples	Guidance	
Bags and films	Snacks	Explore PE bag and film options, innovative recyclable options, or advance an appropriate circular economy program	
Full body sleeves	Many products	Test for compatibility with sorting (see APR near infrared (NIR) sortation potential <u>test</u>). Covering no more than 60% of package surface helps.	
More 2-dimensional	Ensure that the size and shape are compatible w Variety of products sorting recycling processes by using the test from Association of Plastic Recyclers		
Food residue	Food products	Use the How2Recycle label that will include appropriate guidance for consumers to rinse the package before recycling	
Materials to avoid t	hat present recyclability design	challenges	
Resin Color or Additives	Avoid: Degradable additives, optical brighteners, dark colors (i.e., with an L value <40 or NIR reflectance <=10% can't be sorted), fillers/additives that shift the density by more than 4%. PETG, PS, EPS, PVC, PVDC		
Attachments and Closures	Avoid: RFIDs Avoid: PE, metal, PVC, silicone, paper		
Labels	 Ensure materials, adhesives, and size of sleeve/label are not problematic for recycling, i.e., use APR "preferred" labels. Minimize direct printing. Avoid for any label type: paper, PVC, metal foil/metalized/metal printing Avoid for non-wash releasable labels: PLA, PS Avoid label coverage: Those that are not APR Preferred, does not pass APRs near infrared (NIR) Sorting Potential Test, greater than 60% label coverage of the container side wall section 		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics Pact</u> <u>Problematic and Unnecessary Materials</u> and <u>How2Recycle</u> for more information		

ADVANCE to a Circular Economy Solution

PP Containers

Other PP rigid containers, such as formats that are not tubs, bottles, jugs, nor jars (e.g., tubes, single serve coffee cups, beverage cups). (film/flexible are not included here, see bags, films, pouches)



CHANGE to a Recyclable Package

Bottles, Jars, Jugs, and Tubs

Made from acrylic, PETG, PC, PS, PVC, miscellaneous plastics, and multiple materials (e.g., tubes with different plastics and/or metal)



Typically used for:

- Arts and crafts
- Consumables (e.g., toothpaste, lotion)
- Food
- Health & wellness (e.g., medicine)
- Personal and hair care
- Pet food

Challenges

- It may be difficult for consumers to separate multiple materials
- Acrylic, PETG, PC, PS (and high impact PS), PVC and miscellaneous plastics are detrimental to recycling of more common plastics (e.g., HDPE, PET, PP) and are not accepted by most communities for recycling

Guidance

Change to:

- A similar format made from HDPE, PET, PP for bottles, jars, jugs and tubs
- A different recyclable format (e.g., paperboard box, cartons, PE film)

For plastic, use coatings and additives proven to be compatible with recycling to add necessary functionality (e.g., EVOH/compatiblizers), see <u>APR Design® Guide For Plastics Recyclability</u> and APR testing

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

See *information on small plastic packages* (i.e., less than 2" in more than one dimension) on another page

OPTIMIZE

CHANGE

ADVANCE

GUIDES: BOXES

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Paperboard



Typically used for:

- •´'Food´
- Frozen food
- Cleaning products
- Health and wellness (supplements, medicine)
- · Personal, hair, and baby care
- Cosmetics
- Pet care
- Office supplies
- Arts and crafts
- Apparel (shoes, baby, women, men)
- Electronics
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling processing as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	
Dunnage and Padding	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber options	

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Paperboard



Typically used for:

- •´'Food´
- Frozen food
- Cleaning products
- Health and wellness (supplements, medicine)
- Personal, hair, and baby care
- Cosmetics
- Pet care
- Office supplies
- Arts and crafts
- Apparel (shoes, baby, women, men)
- Electronics
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

Recyclability challenges	Examples	Guidance
Frozen Food Cartor	ns Frozen foods	Improve end market and systems for collection and recycling of material that can be recovered (e.g., fiber) or innovate to use recycling compatible options
Food-Contact and Oily/Liquid-Contac Products	t Variety of products	Ensure that the package can be easily cleaned or have no/low contamination/residue (e.g., frozen waffles) otherwise find another recyclable package design (may also consider reviewing the compostable packaging information to see that option applies)
Materials to avoid	l that present recycl	ability challenges
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances	
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, hot melt adhesives, stickers and adhesives (unless passes Western Michigan University testing)	
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC	
Dunnage and Padding	Avoid: EPS and other expanded resin materials (see cushion, dunnage, and insert guidance in this playbook)	
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information	

Corrugated Board



Typically used for:

E-commerce shipping boxes

Recyclable best practices: Meets the following		
Corrugated Box Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Color	Natural color	
Coatings	Use no coatings or use clay or varnish coatings	
Graphics	Direct printed	
Adhesives	Minimal adhesives and tape	
Attachments	Fiber	
Shipping Labels	Paper or direct printed	
Dunnage and Padding	Tree-based fiber options	

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Corrugated Board



Typically used for:

• E-commerce shipping boxes

Recyclability challenges	Examples	Guidance	
Any addition that w not listed as recycla		Consider having the package tested by Western Michigan University	
Adhesives	Hot melt	Avoid hot melt adhesives and use instead water- soluble adhesives	
Materials to avoid that present recyclability challenges			
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances		
Attachments	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC		
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC		
Dunnage and Padding	Avoid: EPS and other expanded resin materials (see cushion, dunnage, and insert guidance in this playbook)		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information		

Molded Fiber



Typically used for:

- Frozen food trays (for some applications)
- Produce trays
- Bakery trays

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	
Dunnage and Padding	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber options	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	Use certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Maximum	Up to 100% PCR	

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Molded
Fiber



Typically used for:

- Frozen food trays (for some applications)
- Produce trays
- Bakery trays

Materials to avoid that present recyclability challenges		
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances	
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, hot melt adhesives, stickers and adhesives (unless passes Western Michigan University testing)	
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC	
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information	

OPTIMIZE

CHANGE

ADVANCE

GUIDES: CARTONS AND CANISTERS

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Paperboard



Typically used for:

- Food
- Health and wellness (supplements, medicine)
- Personal, hair, and baby care
- Cosmetics
- Office supplies
- Arts and crafts
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Party supplies
- Toys

Recyclable best practices: <i>Meets the following</i>		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling processing as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	
Dunnage and Padding	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber options	

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Paperboard



Typically used for:

- Food
- Health and wellness (supplements, medicine)
- Personal, hair, and baby care
- Cosmetics
- Office supplies
- Arts and crafts
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Party supplies
- Toys

Recyclability challenges	Examples	Guidance	
Rigid Canisters or Cartons	Variety of products	Very rigid paper packages that are more three-dimensional than two- dimensional may not be sorted with the paper recycling and end up as waste, therefore it is best to test for sorting and include any necessary instructions for flattening as needed	
Frozen Food Cartons	Frozen foods	Improve end market and systems for collection and recycling of material that can be recovered (e.g., fiber) or innovate to use recycling compatible options	
Food-Contact and Oily/Liquid-Contact Products	Variety of products	Ensure that the package can be easily cleaned or have no/low contamination/residue otherwise find another recyclable package design (may also consider reviewing the compostable packaging information to see that option applies)	
Materials to avoid t	hat present recyclabil	ity challenges	
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances		
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, Hot melt adhesives, Stickers and adhesives (unless passes Western Michigan University testing)		
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC		
Dunnage and Padding	Avoid: EPS and other expanded resin materials (see cushion, dunnage, and insert guidance in this playbook)		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information		

Suppliers are reminded that they are responsible for the compliance of their products, including

regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

OPTIMIZE Design Guides for Recycling – best practices and challenges to avoid

Canisters and Cartons

Coated paper for shelf-stable or refrigerated foods and beverages



Typically used for:

- Shelf stable broth, milk, wine, juice (sometimes referred to as aseptic boxes, bricks, or TetraPak)
- Refrigerated milk, juice (sometimes referred to as gable top)

Frozen food cartons are not currently recyclable.

Recyclable best practices: Meets the following			
Material	Shelf stable cartons: primarily of paper with a thin layer of polyethylene and an additional layer of aluminum Refrigerated cartons: primarily of paper with a thin layer of polyethylene		
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber		
Feasible post-consumer recycled content levels based on current industry practice			
Minimum (may increa time)	Minimum (may increase over time) Use certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber		
Recyclability challenges	Examples	Guidance	
Frozen Food Cartons	Frozen foods	Improve end market and systems for collection and recycling of material that can be recovered (e.g., fiber) or innovate to use recycling compatible options	
Mixed materials	Beverages	Avoid using non-paper materials beyond those used in the carton itself - see materials above (e.g., no metal attachments/closures, RFIDs)	

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CHANGE to a Recyclable Package

Canisters and Cartons

 Paper-based containers with a metal top or bottom



Typically used for:

- Baby food
- Frozen juice concentrate
- Grocery (e.g., coffee, chips)

Shelf-stable and refrigerated cartons and bricks (e.g., TetraPak) are <u>recyclable</u>

Challenges

- It may be difficult for consumers to separate multiple materials
- Metal can be detected during sorting in recycling systems, potentially losing the paper recycling value
- Rigid paper containers may not sort with paper, potentially losing the paper recycling value
- Double sided coated frozen paperboard containers may not be accepted for recycling

Guidance

Change to:

- A similar format with a single material and optimize for recycling (e.g., paperboard canister or box or HDPE tub)
 - Very rigid paper packages that are more three-dimensional than two-dimensional may not be sorted with the paper recycling and end up as waste, therefore it is best to test for sorting and include any necessary instructions for flattening as needed
 - A different recyclable format (e.g., paperboard box, PE film, PET tub, PP tub), coatings for paper-based packaging may need to validate recyclability with testing (e.g., through Western Michigan University tests)

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

(see next page for information on frozen food containers)

ADVANCE to a Circular Economy Solution (usually a longer-term approach)

Canisters and Cartons

Coated paper-based frozen food containers



Typically used for: • Ice cream and related products

Shelf-stable and refrigerated cartons and bricks (e.g., TetraPak) are <u>recyclable</u>



Optimize design and advance the recycling system

- Switch to a recyclable format (e.g., use recyclable coating, use a PET or PP tub) or develop an appropriate circular economy solution (e.g., advance the recycling system, reuse, or composting)
 - If using paper with recyclable coatings proven to fit in a recycling system (e.g., a new one), be sure to avoid
 including metal and ensure compatible with recycling systems, especially for sortability since very rigid paper
 packages that are more three-dimensional than two-dimensional may not be sorted with the paper recycling and
 end up as waste, therefore it is best to test for sorting and include any necessary instructions for flattening as
 needed

Use the How2Recycle label

OPTIMIZE

CHANGE

ADVANCE

GUIDES: CANS

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OPTIMIZE Design Guides for Recycling – best practices and challenges to avoid

Metal Containers



Typically used for:

- Beverage cans
- Food cans
- Aerosol food cans
- Aerosol cleaning product cans

Recyclable best practices: Meets the following			
Materials	Aluminum, steel		
Labels	Lacquer printing on container		
Attachments, closures	Same metal as package		
Feasible post-consumer recycled content levels based on current industry practice			
Minimum (may increase over time)	70% aluminum, 20% steel		
Maximum	Up to 100% PCR		

Recyclability challe	nges Examples	Guidance
Full body sleeves	Beverages	Avoid using or ensure compatible with removal during recycling (since a contaminant that reduces value of recycled metal and can slow down recycling operations)
Mixed materials	Beverages	Avoid PVC; avoid using non-metal materials (e.g., no plastic, lead, steel, or glass); and maximize total portion of aluminum in aluminum containers
Metal trays and pans	Frozen food	Shift to a recyclable option (e.g., light colored PP) or work to improve the acceptance of these for recycling (currently metal trays not collected by enough communities because they are difficult to separate from cans; limited value; can have food contamination)
Materials to avoid that present recyclability challenges		
Attachments and Closures	Avoid: Plastic, stickers	
Labels	Avoid: Stickers, full body plastic sleeves	

OPTIMIZE

CHANGE

ADVANCE

GUIDES: CUSHION, DUNNAGE, AND INSERTS

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Paper Cushion

Paper-based air pillow or paper sheets



Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling processing as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	

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Paper Cushion

Paper-based air pillow or paper sheets

1000			

Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Materials to avoid that present recyclability challenges		
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, coatings, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances	
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, Hot melt adhesives, Stickers and adhesives (unless passes Western Michigan University testing)	
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC	
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information	

Corrugated Board



Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Recyclable best practices: Meets the following		
Corrugated Box Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Color	Natural color	
Coatings	Use no coatings or use clay or varnish coatings	
Graphics	Direct printed	
Adhesives	Minimal adhesives and tape	
Attachments	Fiber	

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Corrugated Board



Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Materials to avoi	Materials to avoid that present recyclability challenges		
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, bioplastic, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances		
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, Hot melt adhesives, Stickers and adhesives (unless passes Western Michigan University testing)		
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information		

Molded Fiber



Molded fiber could be used with the following:

- General merchandise
- Home and garden
- Ecommerce

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	Use certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Maximum	Up to 100% PCR	

*For the purposes of Project Gigaton, <u>FSC-certified</u> virgin content from all countries is recognized; <u>SFI</u> from the U.S. and Canada only; <u>PEFC</u> from Anguilla, Belgium, Czech Republic, Denmark, Estonia, Germany, Hungary, Ireland, Latvia, Lithuania, Netherlands, Portugal, South Korea, Spain, Switzerland, or the UK.

Molded Fiber



Molded fiber could be used with the following:

- General merchandise
- Home and garden
- Ecommerce

Materials to avoi	Materials to avoid that present recyclability challenges		
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances		
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, Hot melt adhesives, Stickers and adhesives (unless passes Western Michigan University testing)		
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC		
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information		

PE Cushion*



Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Recyclable best practices: Meets the following or passed the applicable APR benchmark and definitive tests		
Resin	LDPE, MDPE, LLDPE, or HDPE film	
Resin Color	Unpigmented is best or white or light colors	
Resin Additives	Use compatible additives at levels that do not alter the base material density (e.g., EVOH at recommended levels, see the APR Design [®] Guide for more details); No degradable or biodegradability additives or starch	
Fillers	Ensure density of blend is less than 1.0	
Layers	PE	
Labels	PE or direct printed	
Attachments	PE	
Feasible post-consumer recycled content levels based on current industry practice		
Minimum (may increase over time)	No minimum PCR content, but may be added in the future	

*Plastic packages that have established recycling systems in the U.S., but not yet at rates consistent with the requirements for global reporting of progress according to the Ellen MacArthur Foundation <u>New Plastics Economy Global Commitment</u>.

PE Cushion*

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Typically used for:

- General merchandise
- Home and garden
- Ecommerce

Recyclability challenges	Examples	Guidance
Recycled content	Variety of products	No minimum due to limited availability of options currently available
Materials to avoid challenges	d that present recyclability	
Resin	Avoid: Any non-PE resins mixed in (avoid less than 90% PE)	
Resin Color or Additives	Avoid: Dark colors (e.g., blue, green), PVC, PVDC, metalized layers, fillers that alter the blend density to be greater than 1.0, Starch resins, Degradable additives (no biodegradability additives), PS, EPS, PVC, PVDC	
Attachments and Closures	Avoid: RFIDs Avoid: Metal, foils, fibers, PET, PLA, PP, PS, PVC, PVDC	
Other		nd polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics</u> ary <u>Materials</u> and <u>How2Recycle</u> for more information

*Plastic packages that have established recycling systems in the U.S., but not yet at rates consistent with the requirements for global reporting of progress according to the Ellen MacArthur Foundation <u>New Plastics Economy Global Commitment</u>.



CHANGE to a Recyclable Package

Foam Cushion, Dunnage, Inserts

- Expanded polystyrene or other resins, nylon
- Mushroom packaging



Typically used for:

- General merchandise
- Home and garden

Challenges

• Expanded polystyrene (EPS) and other foam (expanded PET, expanded PP, expanded PE), nylon, mushroom packaging* for cushion, dunnage, or inserts are not accepted by most communities for recycling

Guidance

Change to:

- A design that doesn't have the need for cushion, dunnage, and inserts
- A material that is recyclable such as corrugate, paper and paperboard, PE air pillows, and molded fiber

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

*While mushroom packaging is often compostable, recyclable options are preferred for this type of packaging.

OPTIMIZE

CHANGE

ADVANCE

GUIDES: TRAYS, CLAMSHELLS, AND THERMOFORMS

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Paperboard



Typically used for:

- Food
- Frozen food
- Cleaning products
- Health and wellness (supplements, medicine)
- Personal, hair, and baby care
- Cosmetics
- Pet care
- Office supplies
- Arts and crafts
- Apparel (shoes, baby, women, men)
- Electronics
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

Recyclable best practices: Meets the following		
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Wet Strength Additives	Compatible with recycling processing as confirmed by Western Michigan University testing	
Coatings	Use no coatings or use clay or varnish coatings	
Adhesives	Minimal adhesives and tape or hydrophobic adhesives	
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber	
Labels and Graphics	Paper or direct printed	
Dunnage and Padding	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber options	

*For the purposes of Project Gigaton, <u>FSC-certified</u> virgin content from all countries is recognized; <u>SFI</u> from the U.S. and Canada only; <u>PEFC</u> from Anguilla, Belgium, Czech Republic, Denmark, Estonia, Germany, Hungary, Ireland, Latvia, Lithuania, Netherlands, Portugal, South Korea, Spain, Switzerland, or the UK.

Paperboard



Typically used for:

- •´'Food´
- Frozen food
- Cleaning products
- Health and wellness (supplements, medicine)
- Personal, hair, and baby care
- Cosmetics
- Pet care
- Office supplies
- Arts and crafts
- Apparel (shoes, baby, women, men)
- Electronics
- General merchandise (DIY, sporting goods, automotive, home, kitchen, jewelry)
- Home and garden
- Party supplies
- Toys

	Recyclability challenges	Examples	Guidance		
	Frozen Food Cartor	ns Frozen foods	Improve end market and systems for collection and recycling of material that can be recovered (e.g., fiber) or innovate to use recycling compatible options		
	Food-Contact and Oily/Liquid-Contac Products	t Variety of products	Ensure that the package can be easily cleaned or have no/low contamination/residue (e.g., frozen waffles) otherwise find another recyclable package design (may also consider reviewing the compostable packaging information to see if that option applies)		
ſ	Materials to avoid that present recyclability challenges				
Color, Layers, or Additives Additaditives Additives Additives Additives Additives Addi		utside and inside coated), treatments that require plastic/polymers gloss), wax, bioplastic, metalized films, foils, wet strength additives			
J	Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, hot melt adhesives, stickers and adhesives (unless passes Western Michigan University testing)			
Labels Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC					
	Dunnage and Padding	Avoid: EPS and other expanded resin materials (see cushion, dunnage, and insert guidance in this playbook)			
	Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information			

Molded Fiber



Typically used for:

- Frozen food trays (for some applications)
- Produce trays
- Bakery trays

Recyclable best practices: Meets the following				
Material	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber			
Wet Strength Additives	Compatible with recycling as confirmed by Western Michigan University testing			
Coatings	Use no coatings or use clay or varnish coatings			
Adhesives	Minimal adhesives and tape or hydrophobic adhesives			
Attachments	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber			
Labels and Graphics	Paper or direct printed			
Dunnage and Padding	Certified responsibly sourced fiber (e.g., FSC*) and/or recycled fiber options			
Feasible post-consumer recycled content levels based on current industry practice				
Minimum (may increase over time)	Use certified responsibly sourced fiber (e.g., FSC^*) and/or recycled fiber			
Maximum	Up to 100% PCR			

*For the purposes of Project Gigaton, <u>FSC-certified</u> virgin content from all countries is recognized; <u>SFI</u> from the U.S. and Canada only; <u>PEFC</u> from Anguilla, Belgium, Czech Republic, Denmark, Estonia, Germany, Hungary, Ireland, Latvia, Lithuania, Netherlands, Portugal, South Korea, Spain, Switzerland, or the UK.

Molded Fiber



Typically used for:

- Frozen food trays (for some applications)
- Produce trays
- Bakery trays

Recyclability challenges	Examples	Guidance				
Food-Contact and Oily/Liquid-Contac Products	t Variety of products	Ensure that the package can be easily cleaned or have no/low contamination/residue (e.g., frozen waffles) otherwise find another recyclable package design (may also consider reviewing the compostable packaging information to see that option applies)				
Materials to avoid	Materials to avoid that present recyclability challenges					
Color, Layers, or Additives	Avoid: Plastic/polymer treatments or layers on fiber-based components (one side is better than both the outside and inside coated), treatments that require plastic/polymers (most holograms, high gloss), wax, metalized films, foils, wet strength additives that haven't passed Western Michigan University testing, dark colors, fragrances					
Attachments and Adhesives	Avoid: Metal, magnetic closures, electronics, PET, PLA, PP, PS, PVC, hot melt adhesives, stickers and adhesives (unless passes Western Michigan University testing)					
Labels	Avoid: Metal foil, metalized printing, PET, PLA, PP, PS, PVC					
Other	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see the <u>How2Recycle</u> program for more information					

ADVANCE to a Circular Economy Solution

PET Thermoforms (e.g., Boxes, Clamshells, Cups)



Typically used for:

- Bakery and deli
- Eggs
- General merchandise (sporting goods, automotive, home)



ADVANCE to a Circular Economy Solution – design elements to utilize

PET Thermoforms (e.g., Boxes, Clamshells, Cups)



Typically used for:

- Bakery and deli
- Eggs
- General merchandise (sporting goods, automotive, home)

Optimize: Meets the following or passed the applicable APR benchmark and definitive tests PET bottle grade with a crystalline melting point between 225 and 255°C Resin Resin Color Clear Resin Additives No degradable or biodegradability additives Label PP or PE (that float when printed) Attachments Clear if PET: colored ok for PP or PE Tamper Evidence Easily fully removable, PET, PP, or PE Larger than 2" in two dimensions and largely 3-dimensional (vs. flat with one Dimensions dimension <2") Feasible post-consumer recycled content levels based on current industry practice Minimum (may increase over time) 25% PCR Up to 100% PCR Maximum

Use the How2Recycle label

ADVANCE to a Circular Economy Solution – design elements to avoid

PET Thermoforms (e.g., Boxes, Clamshells, Cups)



Typically used for:

- Bakery and deli
- Eggs
- General merchandise (sporting goods, automotive, home)

Design challenges	Examples	Guidance				
Black trays	Bakery and produce	Black currently isn't detected in sorting for PET, has no valuable end markets, it should be switched to clear or transparent light blue or green if it isn't 100% PCR				
Non-PET clamshells	Variety of products	Look to switch to a recyclable format (e.g., paper, PET)				
More 2-dimensional	Variety of products	Ensure that the size and shape are compatible with the sorting recycling processes by using the <u>test</u> from the Association of Plastic Recyclers				
Blister packages (e.g., paper and plastic)	Toys, general merchandise, health and wellness	These are often hard to separate or are not used by recyclers, design or innovate to use a recyclable package (e.g., paper, PET, PE film/bag)				
Materials to avoid that present recyclability design challenges						
Resin A	Avoid: PETG, or Other resins mixed in					
Resin Color or Additives	Avoid: Transparent colors (green should be limited to compostable packaging based on guidance from the <u>State of</u> <u>Washington</u>), opaque colors, dark colors (i.e., with an L value <40 or NIR reflectance <=10% can't be sorted), degradable additives or biodegradability additives, PETG, PS, EPS, PVC, PVDC					
Attachments/Closures	Avoid: RFIDs Avoid: Metal, foils, PS, PVC, PLA, PETG					
ام ب Labels and Adhesives	 Ensure materials, adhesives, and size of sleeve/label is not problematic for recycling, i.e., use APR "preferred" labels. Avoid the following Materials: Metal foil, metalized printing, PS, PVC, PLA, PETG, paper labels not APR Preferred or that do not pass APR testing Label coverage: Those that are not APR Preferred, does not pass APRs near infrared (NIR) Sorting Potential Test, for containers of 550 ml or less labels that cover more than 55% of the bottle surface area with label, for containers greater than 550 ml labels that covert more than 70% of the bottle surface area with label Inks: Bleeding inks or direct printing that do not pass APR testing, minimize direct printing on the container 					
	Avoid intentionally added per- and polyfluoroalkyl substances (PFASs) – see <u>U.S. Plastics Pact Problematic and</u> <u>Unnecessary Materials</u> and <u>How2Recycle</u> for more information					
CHANGE to a Recyclable Package

Trays, Clamshells, Thermoforms, Cases

EPS, PS, PVC, Acrylic

Challenges

- Acrylic, expanded polystyrene (EPS), polystyrene (PS), polyvinyl chloride (PVC) are not accepted by most communities for recycling
- These materials can be detrimental to the recycling of more common plastics and can result in losses due to contamination

Guidance

Change to:

- A similar format that is a recyclable option such as PET, PP, or molded fiber
- A different recyclable format (e.g., box, bag)

See the appendix for information on compostable packaging to see if this option applies

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

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Typically used for:

- Arts and crafts
- Cosmetics
- Electronics
- Food (e.g., bakery, cookies, deli, frozen, meat)
- General merchandise
- Home Décor and Do It Yourself
- Office
- Personal care
- Toys

OPTIMIZE

CHANGE

ADVANCE

GUIDES: OTHER PACKAGES: BLISTER PACKS

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CHANGE to a Recyclable Package

Blister Packs

(usually with multiple materials such as PET/paper, PVC/metal)



Typically used for:

- Arts and crafts
- Consumables
- Health & wellness (e.g., supplements, medicine)
- Electronics
- General merchandise
- Home Décor and Do It Yourself
- Office
- Toys

Challenges

- It is difficult for consumers to separate multiple materials
- Commonly used PVC thermoforms are detrimental to plastic recycling

Guidance

Change to:

.

- A different format with a single material (e.g., paperboard box, PE bag)
 - A similar format with materials that are easily separated and recyclable on their own (e.g., PET clamshell or tray with paper insert), or use the acceptable attachments noted in this playbook

Avoid materials that are detrimental to plastic recycling (e.g., PVC, PETG, foils), including adhesives that remain on the plastic that are not compatible with recycling

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

Exception for Drugs: When drugs require individual dosing with tamper evidence and product protection that another option cannot provide, blister packs with multiple materials are acceptable, but the company should have a development pipeline that aims to replace this design with recyclable alternatives (supplements are not included in this exception)

Example recyclable formats to consider

Paperboard box	Paperboard display	PE bag	PET clamshell, tray
	Millionerse Trainerse Trainerse An un fill and the additional and the		

OPTIMIZE

CHANGE

ADVANCE

GUIDES: OTHER PACKAGES: PLASTIC TUBES WITH MULTIPLE MATERIALS

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ADVANCE to a Circular Economy Solution (usually a longer-term approach)

Tubes

• Plastic and made of multiple materials (aluminum, plastic)



- Typically used for:
- Arts and crafts
- Consumables (e.g., toothpaste, lotion)
- Health & wellness (e.g., medicine)

Challenges and levers of change End-Market Collection Processing Sortation Improve Use single resin **Expand collection** Improve end market Improve sortation processing Design tubes from a single PE resin and engage in the Association Both design and system challenges: Tubes of Plastic Recyclers Tube Working Group, The Recycling often are a mixture of materials not collected Partnership, and the U.S. Plastics Pact to advance recycling for recyclina systems Or switch to a recyclable format

Work to <u>advance</u> innovation in recyclable packaging or the development of an appropriate circular economy solution

- Use a different package material and format that is recyclable (e.g., paperboard box, PE bag)
- Or design tubes from a single PE resin optimized for recycling (e.g., use compatible additives such as limited EVOH, see <u>APR Design* Guide For Plastics Recyclability</u>), see <u>Colgate Palmolive</u> toothpaste tube development, and engage in the industry to advance its recycling (see above)

If a recyclable option is not feasible, help advance the development of an appropriate circular economy solution (e.g., reuse, composting, take-back) for the current package (e.g., packages with significant product residue detrimental to recycling or not compatible with recycling, packages smaller than 2" in more than one dimension).

Use the How2Recycle label

OPTIMIZE

CHANGE

ADVANCE

GUIDES: OTHER PACKAGES: FLAT OR SMALL PLASTIC

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CHANGE to a Recyclable Package

Flat plastic

Flat plastic has 2 dimensions or is more 2dimensional than 3-dimensional



Flat plastic found across the store as a window on a package or the package itself.

- Grocery (e.g., bakery, pasta)
- Consumables (e.g., cosmetics)
- General merchandise (e.g., electronic accessories)
- Toys

Challenges

• Flat plastic may end up with paper recycling in which case it potentially contaminates paper or does not get recycled

Guidance

<u>Change</u>:

• Design out flat plastic packaging components (e.g., windows)

For PET thermoforms that are not a window but more of a plastic box or container that could be more 2-dimensional than 3-dimensional, see PET thermoform guidance and:

- Ensure that the size and shape are compatible with the recycling process by using the <u>test</u> from the Association of Plastic Recyclers
- Or Change to a recyclable format (e.g., box, bag)

See the applicable guides in this playbook to optimize the new design (e.g., labels, colors) and use the How2Recycle label

ADVANCE to a Circular Economy Solution (usually a longer-term approach)

Small plastic containers

• Small packages are <2" in more than one dimension



Small packages are typically used for:

- Arts and crafts
- Consumables (e.g., toothpaste, lotion)
- Health & wellness (e.g., medicine)
- Cosmetics



Work to <u>advance</u> innovation in recyclable packaging or the development of an appropriate circular economy solution

- Design small packages to pass the test for sorting. Ensure that the size and shape are compatible with the recycling process by using the test from the Association of Plastic Recyclers. However, increasing the package size and material use are not recommended. Also, ensure that the overall design is optimized for recycling the material (e.g., labels, color, caps). Refer to the applicable green/gray design pages (e.g., HDPE bottle, etc.).
- · If cannot meet requirement for sorting, help advance the development of an appropriate circular economy solution
- Or switch to a recyclable format

Use the How2Recycle label

COMPOSTABLE PACKAGING

WHEN IS COMPOSTABLE PACKAGING AN OPTION?

When packaging, food waste, and end-of-life impacts are balanced & reusable and recyclable options do not work



This information applies to retail product packaging (e.g., does not refer to food service, products)

WHAT TO WATCH FOR: COMPOSTABLE PACKAGING

Composting is a natural degradation process that produces a soil conditioner from organic materials

INDUSTRIAL COMPOSTING: Consumers currently do not have enough access to industrial composting programs

- Approximately 5% of U.S. households have access to curbside food waste collection; not all programs accepting compostable packaging
- Look for **Biodegradable Products Institute** (BPI) certified compostable packaging (or equivalent)

<u>COMMUNITY AND HOME COMPOSTING</u>: Usually a long process with small volumes and with some technical limitations

• Look for BPI certified compostable packaging PLUS TUV's OK compost home certification

If compostable packaging proves to be the best way to balance end-of-life management, packaging material impacts, and food waste be sure to^{*} **support the expansion of composting access for consumers** (e.g., engage with and/or fund organizations, policy development, and other efforts increasing composting access)

COMPOSTABLE PACKAGING IS NOT THE SAME AS BIODEGRADABLE

Do not make claims about biodegradability of packaging, only refer to compostability (when applicable)



Compostable

Biodegrades into compost at a rate consistent with other known compostable materials and without visible residue as verified through standard tests.

Biodegradable

Under appropriate conditions, breaks down into carbon dioxide, minerals and other materials found in nature. Note: packages can be biodegradable but are not compostable; biodegradation cannot expect to happen in a landfill; making biodegradable claims are not instructing a responsible end-of-life behavior and are confusing to consumers.

Biodegradable additives: Used to help something partially biodegrade but does not enable compostability and should be avoided in packaging.

- Do not use in petroleum-based plastics since compromises the recycling stream (Source: <u>Sustainable</u> <u>Packaging Coalition</u>).
- Do not use in compostable packaging since they are not needed.

Marine degradability: This is a subset of biodegradability, where the material can effectively break down in marine environments, and while is a desirable attribute for some materials, this is not a claim that should be made on any packaging.

PFAS: Long and short chain per- and poly-fluorinated alky substances (PFAS) are often used to grease and water-proof fiber-based packaging and should be avoided. BPI compostable certification does not allow for intentional addition of PFAS. (Source: <u>BPI</u>).

Bio-based refers only to the source of the materials the package was made from and does not mean the package is compostable or biodegradable (e.g., bio-based PET is recyclable and not biodegradable) – look for certification to confirm compostability (e.g., BPI).

WHAT SYSTEMS ARE COMMONLY AVAILABLE FOR COMPOSTING PACKAGING?

- There are ~ 1,000 industrial composting facilities that accept waste from consumers in the U.S., of which ~ 16% currently accept compostable packaging
- The most common systems include windrow, aerated static pile (ASP), or in-vessel systems.

	WINDROW	AERATED STATIC PILE (ASP)	IN-VESSEL
Description	Waste is formed into rows of long piles -called windrows – and periodically turned, manually or mechanically.	Waste is pre-mixed and placed in large piles where fans push pr pull air through the pile.	Waste is fed into a drum, silo, concrete-lined trench, or similar equipment. May include aeration, agitation, or temperature control systems.
Timeframe to finished compost	6-9 months	3-6 months	In vessel 3 days- 6 weeks followed by windrow or ASP to finish
Advantages	Can process large volumes of material and creates large amounts of finished compost Simpler system to operate Longer timeframe for materials to breakdown	Requires less land and labor than windrow composting Shorter timeframe and controlled environment for materials to breakdown Odor treatment can be integrated	Can be small enough to be sited directly on-site at institutions Shorter timeframe and controlled environment for materials to breakdown Odor treatment can be integrated
Limitations	Requires larger parcels of land to site and scale Requires regulatory zoning, siting, and enforcement (e.g., odor, size, water quality, etc.) Higher operating cost (e.g., fuel use)	Requires more infrastructure and technical insight to correctly install and maintain Requires regulatory zoning, siting, and enforcement (e.g., odor, size, water quality, etc.) May pose a challenge for bioplastics composting	More expensive on a per unit basis May require more technical expertise to operate

WHAT PACKAGING MATERIALS ARE COMPOSTABLE?

Not all materials are compatible with common industrial composting systems

Material *	Windrows	Aerated Static Pile (ASP)	Covered in-vessel (IVC)
Starch	Yes	Yes	Yes
PLA (Polylactic acid)	Yes	Yes	Yes
Paper and Card	Yes	Yes	Yes
Recycled Paper Pulp	Yes	Yes	Yes
Molded Bagasse Pulp	Yes	Yes	Yes
Molded Palm Fiber	Yes	Yes	Yes
Molded Bamboo Fiber/Pulp	Yes	Yes	Yes
Paperfoam	Yes	Yes	Yes
High Amylose Corn Starch	Yes	Yes	Yes
Thermoplastic starch (TPS)	Yes	Yes	Yes
Polyhydroxyalkanoate (PHA) - Canola oil base	Sometimes**	Sometimes**	Sometimes**
Polyhydroxybutyrate (PHB)	Sometimes**	Sometimes**	Sometimes**
Uncoated Cellulose-based Films	Sometimes**	Sometimes**	Sometimes**

* Raw material only, does not consider other packaging attributes such as closures, format, etc.

** May depend on packaging compositions & thicknesses

BEST PRACTICES: HOW TO LABEL COMPOSTABLE PACKAGING

- 1. Clearly indicate that the package is certified for industrially composting by including the BPI Certification Mark. The BPI Certification Mark and the How2Compost label can be included to reinforce on-product claims and differentiate between certified items and non-compostable packaging for certified items. All compostable claims must be qualified to make clear that:
 - The item is not home compostable unless it is certified specifically for home compostability
 - Commercial compost facilities are not available to a majority of consumers or communities where the item is sold
- 2. If you are using a compostable label, avoid making claims about any of the following**:
 - Biodegradable
 - Decomposable
 - Degradable
 - Marine degradable
 - Oxo-degradable
 - Recyclable (recyclable packages should not be labeled with compostable labels since recyclable packages should be recycled)

**Adapted from <u>Washington State</u>

3. Follow applicable laws, such as (but not limited to):

- US Federal Trade Commission Green Guides
- <u>Washington State</u> law on the marketing the degradability of products



Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

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A LOOK AT THE RANGE AND EVOLVING RECYCLING STATUS OF PACKAGING

According to <u>How2Recycle</u>, there are core package types that the current recycling system was designed for and are widely recyclable (e.g., corrugated boxes, aluminum cans, HDPE bottles, etc.); other package types may have barriers to recycling, which can change from time to time and impact the recyclability label in the How2Recycle program. This playbook contains references suppliers can use to help effectuate change to increase the recyclability of non-core package types.*



For plastic packaging, detailed guidance is provided in the <u>APR Design [™] Guide for Plastic Recyclability</u>.

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*How2Recycle figure source: https://how2recycle.info/futureguide

HAVE YOU ADDRESSED?



Packages that are very minimal (e.g., product stickers) are low in priority to design for recyclability. Reduce cost by simplifying packaging, e.g., number of material types, weight, and components.

PACKAGING DESIGN CHANGE TIMEFRAMES

Packaging design (i.e., structural vs. label graphics) changes have a wide range of timelines, sometimes taking over 18 months. <u>Plan for the time to make the needed design changes</u> when looking to meet targets or launch dates.



A CLOSER LOOK AT SORTATION

After collection, packaging goes to a Material Recovery Facility (MRF) for sorting*:



Components of a package are not separated at this stage of processing and if not compatible with the material stream, may contaminate it resulting losses. Refer to the appropriate guide in this playbook for more information.

*Facilities have different equipment and technologies resulting in variations in the order or result of sorting (e.g., glass sorted before paper) – this figure is a generalized representation.

*Labels, pigments, and inks may interfere with the optical sensor leading to PET or other recyclable plastic being discarded; testing is recommended.



WHICH GUIDE TO START WITH?

*Some rigid PP containers fall in "advance" and some in "optimize"; refer to the corresponding pages in the playbook for more information

WHICH GUIDE TO START WITH?

If you don't have a package for your product



QUICK TIPS FOR DESIGNING PLASTIC PACKAGING FOR RECYCLABILITY



Suppliers are reminded that they are responsible for the compliance of their products, including their products packaging, with all applicable laws and regulations, including laws and regulations applicable to recyclability and compostability, such as the FTC's Green Guides and California's Public Resources Code. Walmart does not give its suppliers legal advice. Suppliers should consult their own counsel with questions about the applicability of laws and regulations to their products and packaging.

*How2Reycle currently classifies non-bottle rigid PET and some rigid PP packages with a "Check Locally" label.

THE U.S. PLASTIC PACT PROBLEMATIC AND UNNCECESSARY MATERIALS

The playbook includes these items in the "materials to avoid" sections for plastic packages

U.S. Pact Activators will take measures to eliminate these items by 2025, please visit: https://usplasticspact.org/problematic-materials/

- Cutlery*
- Intentionally added¹ Per- and Polyfluoroalkyl Substances (PFAS)²
- Non-Detectable Pigments such as Carbon Black
- Opaque or Pigmented PET Polyethylene Terephthalate bottles (any color other than transparent blue or green)
- Oxo-Degradable Additives, including oxo-biodegradable additives
- PETG Polyethylene Terephthalate Glycol in rigid packaging
- Problematic Label Constructions This includes adhesives, inks, materials (e.g., PETG, PVC, PLA, paper). Avoid formats/materials/features that render a package detrimental or non-recyclable per the <u>APR Design® Guide</u>. Labels should meet APR Preferred Guidance for coverage and compatibility and be tested in any areas where this is unclear.
- PS Polystyrene, including EPS (Expanded Polystyrene)
- PVC Polyvinyl Chloride, including PVDC (Polyvinylidene Chloride)
- Stirrers*
- Straws*

*When non-reusable, non-recyclable, or non-compostable per <u>U.S. Pact definitions</u> and provided as an ancillary item to the primary container. For instance, a packet of plastic cutlery provided with a prepared salad or a straw/stirrer provided with an on-the-go beverage would be defined as problematic whereas cutlery, straws, or stirrers sold as a product would not.

""Intentionally added" either in the package or in the manufacturing of that package.

² "PFAS" or perfluoroalkyl and polyfluoroalkyl substances are defined as the class of fluorinated organic chemicals containing at least one fully fluorinated carbon atom at or above 100 parts per million, as measured in total organic fluorine.

The 11 items listed are not currently reusable, recyclable, or compostable with existing U.S. infrastructure at scale and are not projected to be kept in a closed loop in practice and at scale by 2025.

GLOSSARY

WALMART UTILIZES ELLEN MACARTHUR FOUNDATION'S DEFINITION FOR RECYCLABILITY AND ISO DEFINITIONS FOR RECYCLED CONTENT, COMPOSTABILITY, AND REUSE FOR PURPOSES OF MEASURING PROGRESS ON WALMART'S GLOBAL SUSTAINABILITY GOALS

The below are Walmart's simplified definitions. For the full definitions, please visit: https://www.ellenmacarthurfoundation.org/assets/downloads/13319-Global-Commitment-Definitions.pdf

Recyclable

Definition: If its successful post-consumer collection, sorting, and recycling is proven to work in practice and at scale (1).

 In practice and at scale threshold: Does that packaging achieve a 30% postconsumer recycling rate in multiple regions, collectively representing at least 400 million inhabitants.

What to look for:

- Meets the "green pages" of the Recycling Playbook (though in practice and at scale may not be met in all cases)
- Reviewed by How2Recycle as Optimally or Recyclable but needs improvement

Post-Consumer Recycled (PCR) Content

Definition: Proportion, by mass, of postconsumer (1) recycled material in a product or packaging (ISO 14021:2016).

 (1) Post-consumer recycled (PCR) content is material generated by households or by commercial, industrial, and institutional facilities in their role as end users of the product which can no longer be used for its intended purpose. This includes returns of material from the distribution chain.
PCR material differs from pre-consumer in that pre-consumer consists of materials that were never in use before being recycled (e.g., Production scrap).

What to look for:

Post-consumer not pre-consumer recycled content

Compostable

Definition: If it is in compliance with relevant international compostability standards and if its successful post-consumer collection, (sorting), and composting is proven to work in practice and at scale.

 Undergoes degradation by biological processes during composting to yield, carbon dioxide, water, inorganic compounds, and biomass (humus-like substance) at a rate consistent with other known compostable materials and leaves no visible, distinguishable or toxic residue (Source: ISO 17088: 2012, ISO 14021: 2016)

What to look for:

- BPI Certified industrially compostable (or equivalent*)
- BPI Certified plus TUV's OK compost Home

*Outside of the North America, BPI can be used or other programs that follow similar standards (e.g., ASTM D6400/D6868, EN 13432, or CAN/BNQ 0017-088) and prohibit added PFAS (e.g., TUV).

Reuse

Definition: Operation by which packaging is refilled or used for the same purpose for which it was conceived, with or without the support of auxiliary products present on the market, enabling the packaging to be refilled (ISO 18603:2013).

 Reusable packaging is packaging which has been designed to accomplish or proves its ability to accomplish a minimum number of trips or rotations in a system for reuse.

SIMPLIFIED VERSION OF ELLEN MACARTHUR FOUNDATION'S NEW PLASTICS ECONOMY GLOBAL COMMITMENT DEFINITION FOR RECYCLABILITY OF PLASTICS



ADDITIONAL TERMS AND ACRONYMS

- Biodegradable: Breakdown of an organic chemical compound by micro-organisms in the presence of oxygen to carbon dioxide, water, and mineral salts of any other elements present (mineralization) and new biomass or in the absence of oxygen to carbon dioxide, methane, mineral salts, and new biomass (Source: ISO 18606: 2013).
- Circular economy solution (for packaging): Packaging that is recycled or composted (or both), ideally after several reuse cycles. This may include package deposit programs, take-back programs, municipal recycling, or other systems (e.g., educational campaign to encourage the placement of smaller packages into larger ones of same material composition, collection for chemical recycling) where the material is recovered and processed so the material is kept in use in the economy (Adapted from: Ellen MacArthur Foundation New Plastics Economy Global Commitment).
- Miscellaneous plastics: There are plastics that are not typically recyclable or are not commonly used in notable quantities, such as acrylonitrile butadiene styrene, polybutylene terephthalate, polylactic acid, polyoxymethylene, and styrene-acrylonitrile.
- **Package:** Any product to be used for the containment, protection, handling, delivery, storage, transport and presentation of goods, from raw materials to processed goods, from the producer to the user or consumer, including processor, assembler or other intermediary (Source: ISO 21067:2007).
- Packaging components: Part of packaging that can be separated by hand or by using simple physical means (Source: ISO 18601:2013).
- **Preferred:** Attributes that support recycling by the majority of the Materials Recovery Facilities and recyclers with minimal, or no, negative effect on the productivity of the operation or final product quality (Source: APR Design * Guide for Plastics Recyclability)

- ASTM: American Society for Testing and Materials
- BPI: Biodegradable Products Institute
- CAN/BNQ: Canada Bureau de Normalisation du Québec
- EN: European Standards
- EPS: expanded polystyrene
- EVA: ethylene vinyl acetate
- EVOH: ethylene vinyl alcohol
- FSC: Forest Stewardship Council
- HDPE: high density polyethylene
- LDPE: low density polyethylene
- LLDPE: linear low density polyethylene
- MDPE: medium-density polyethylene
- PE: polyethylene
- PET: polyethylene terephthalate
- PETG: polyethylene terephthalate glycol
- PC: polycarbonate
- PFAS Per and polyfluoroalkyl substances
- PLA: polylactic acid
- PP: polypropylene
- PS: polystyrene
- PVC: polyvinyl chloride
- PVDC: polyvinylidene chloride
- RFID: Radio-frequency identification
- TPE: thermoplastic elastomer
- UV: ultraviolet

<u>Identification</u> <u>Codes</u>

Resin

